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How Do I Score Thee?

Let Me Count the Ways.

Or Some Different Methods

of Categorizing Rorschach Responses

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The controversy over the merit of Exnerís (1986) Comprehensive System (CS) has

unfortunately led to a confusion of the CS with all methods of scoring responses to

inkblots. Six other widely used Rorschach scoring methods and representative examples

of the research they have generated are described. Objective tests of personality

ask participants to acknowledge explicit motives, whereas projective tests sample implicit

needs participants may not recognize. Projective methods provide unique

means of studying personality dynamics. The CS, whatever its merits and limitations,

is but 1 of a number of systems of categorizing Rorschach responses. From their inception, the Rorschach inkblots have been a center of controversy.

Advocates tend to minimize the problems associated with the scoring and interpretation

of such responses, whereas opponents seize on its limitations, real and imagined.

Ironically, the Rorschach test has unintentionally provided a uniquely ambiguous setting for various elements in American psychology to project their

fears and wishes on how best to study personality. Extreme statements have been

made about the merits of the Rorschach as a psychological test ranging from

Frankís (1939) belief that it was the means to obtain an X ray of the personality to

Jensenís (1965) calling for its elimination from clinical psychology. Garb (1999),

in summarizing the flaws he found in the Comprehensive System (CS; Exner,

1986), one highly popular method of scoring responses to inkblots, called for a

moratorium not only on the CS but on the use of the Rorschach test itself in clinical

and forensic settings (Garb, 1999, p. 316). American psychology has never seen the

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time when the wisdom, usefulness, and scientific respectability of using responses

to inkblots has not been vigorously debated.

Time has not eased this controversy. With vastly improved methods of data

analysis, todayís arguments now tend to be more sophisticated than yesterdayís,

but the heat and passion continue as before. The current controversy concerns the

scientific value of Exnerís (1986) CS for scoring and interpreting Rorschach responses.

Even those who find fault with the CS agree that its use has invigorated

and revived the field. As with many instances of fiercely held, opposing posi-

tionsówhether matrimonial, parental, religious, or politicalóeach side claims,

with some justice, to be misunderstood and insufficiently appreciated by the other.

Critics (Wood, Nezworski, & Stejskal, 1996; Wood, Nezworski, Stejskal, Garven,

& West, 1999) have claimed that the CS has been oversold and is seriously flawed,

whereas supporters (Ganellen, 2001; Hiller, Rosenthal, Bornstein, Berry, &

Brunnel-Neuleib, 1999; Parker, Hanson, & Hunsley, 1988) have claimed that the

validity of the CS is equal to that of the Minnesota Multiphasic Personality Inventory

(MMPI; Hathaway & McKinley, 1951).

Although each party acknowledges the arguments presented by the other, neither

is convinced, perhaps because each cites different data, and each claims unfair

arguments by the other (Meyer, 2000; Wood, Nezworski, Stejskal, & Garvin,

2001). For those researchers who do not have a dog in this particular fight, observing

this squabble is dÈj‡ vu all over again and produces the discomfort similar to

that of inadvertently stumbling into a neighborís domestic quarrel. Furthermore,

this controversy, like many others in psychology (e.g., the scientific merit of psychoanalysis,

the utility of manualized treatment) is not likely to be resolved by data, in part because the two sides cannot agree on which data are relevant and in

part because the antagonists have different conceptions of the directions psychology

should take. Ultimately, fatigue and boredom rather than sweet reason may

put the issue to rest, although if history provides a clue it is quite likely to be resurrected

again in a few years in slightly different form.

The quarrel about the CS has had a number of unfortunate consequences, among them the tendency of both friends and critics to confuse the CS with all

methods of scoring Rorschach responses (e.g., Garb, 1999). Imprecise language

has resulted in a synecdoche, confusing the part for the whole, resulting in a number

of statements either condemning or defending the Rorschach test when in fact

the issue was the CS, one of many different alternative methods for scoring and interpreting

responses to the blots. As KleenexÆ is not identical with all facial tissues,

the CS, although the best known and most frequently used scoring system, is

not synonymous with the Rorschach method, a distinction often overlooked by

those unhappy with the CS.

These other methods differ from the CS on at least three important dimensions.

First, except for Holtís (1966) primary process system, they are simpler, less ambitious,

and much easier to use. Second, they are all tied to some variant of psycho

DIFFERENT METHODS OF CATEGORIZING RORSCHACH RESPONSES 401 analytic concepts. In contrast, the CS is quite like the MMPI in its

raw empiricism, although a recent study (Viglione, Brager, & Haller, 1991) incorporated psychoanalytic

thinking into CS interpretation. Third, they are mainly research instruments,

used almost exclusively to study personality dynamics either between groups or

within a group from pretreatment to posttreatment condition; that is not the situation

with the CS, a clinical measure frequently employed for forensic or diagnostic

purposes. Assessment methods that purport to provide a psychiatric diagnosis

must guarantee norms adequate for that purpose. Indeed, the present controversy

about the CS turns on that very point. In contrast, because norms are not particularly

relevant for investigating between-group differences, any method of

Rorschach

scoring designed to examine personality dynamics is much less dependent

on normative tables than is the CS. Furthermore, except for the Holt (1966) system,

evaluating the responses on these other measures is not nearly as time consuming

as it is for the CS because fewer Rorschach variables are utilized and interscorer reliability is almost always quite satisfactory.

In this article, I describe six non-CS assessment procedures that rely heavily on

the content, and to a lesser extent the structural characteristics, of responses to inkblots

as a means of investigating personality dynamics. Over the years, a number

of content categories have been studied for this purpose (Blatt, Brenneis, Schimek,

& Glick, 1976; Burke, Friedman, & Gorlitz, 1988; Cerney & Shevrin, 1974;

Coonerty, 1986; Cooper, Perry, Hoke, & Richman, 1985; De Vos, 1952; Elizur,

1949; Endicott, 1972; Fisher & Cleveland, 1958; Holt, 1966; Klopfer, Kirkner,

Wisham, & Baker, 1951; Krohn & Mayman, 1974; Labarbera & Cornsweet, 1985;

Levine & Spivack, 1964; Masling, Rabie, & Blondheim, 1967; Mayman, 1967;

Perry & Viglione, 1991; Pruitt & Spilka, 1964; Singer & Wynne, 1966; Urist, 1977).

A good review of systems for scoring responses for object relations is provided by

Stricker and Healey (1990). As the inkblots themselves can be interpreted in a variety

of ways, responses to them can also be variously grouped or assembled. The

test itself can be seen as polymorphous benign, having the potential for lending itself

to a variety of purposes. Some of the scoring methods have been like mayflies

enjoying only a brief life, whereas others have prospered and are substantially heuristic.

I describe six of the most frequently used systems for scoring responses

along with some illustrative examples of how each has been used in research; a

comprehensive review of such research is beyond the scope of this article.

BARRIER AND PENETRATION SCORES

Early in his career Fisher (1970) was asked to provide psychological assessment for

a group of patients, among them arthritics. He noticed that the Rorschach responses

of the arthritic patients were dissimilar from those of the other patientsóthey

tended to report images with hard, sharp boundaries. He checked this observation

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against the responses given by other test participants and discovered that those patients

with external symptoms such as arthritics reported many more inkblot associations

that had definable boundaries than did those patients with such internal

ailments as ulcers; this finding has been replicated at least three times (Fisher, 1970,

pp. 209ñ212).

From this beginning, Fisher (1970) developed two scales for inkblot re-

sponsesóthe Barrier and Penetration scores (see Appendix A). In scoring a protocol,

each response is given a score of 1 if it contained either a barrier or a

penetration aspect; the total score therefore can not be higher than the number of

responses given to the full test. Either individually administered or group administered

inkblot tests (Fisher sometimes used the Holtzman [Endicott, 1972]
blots)

could be scored this way. Most of his research utilized data gathered by group testing

with the blots projected on a screen and the participants writing their associations

to them. Such economy of data collection made possible the investigation of

a number of hypotheses using a variety of different populations. Studies of

interscorer reliability produced reliability coefficients for the Barrier response

ranging from .82 to .97 and for the Penetration response from .83 to .99 (Fisher &

Cleveland, 1958, p. 64). Consistency of responses from one form of the Holtzman

to the other produced correlations ranging from .83 to .85 for the Barrier score and

from .85 to .87 for the Penetration score (Fisher, 1970, p. 160).

No exact number of studies using the Barrier and Penetration scores is available,

but there is no doubt that except for the CS more research has been conducted

using either or both of Fisherís (1970) scores than any other scoring scheme for

inkblot responses. A conservative estimate is that several hundred studies have

used the Barrier and/or the Penetration score. Although Fisherís scales have fallen

from favor lately, at one time they were highly popular, particularly for investigations

of the body image. The variety of topics investigated by Fisher and those influenced

by him is impressiveóvarious aspects of interpersonal behavior, physical and psychiatric illness, attitudes about the body and sexuality, ability to

tolerate pain, and sociometric status.

The time a woman waits before consulting a physician after discovering a lump

in her breast is positively related to her Barrier score, that is, the higher the score

the longer the delay. Both in a pilot study (N = 15) and in a larger follow-up (N = 26,

p = .05) Barrier responses predicted delay in seeking medical help (Fisher, 1970, p.

247). Male paraplegics (N = 40) with high Barrier scores were evaluated by staff

members to have reached a better adjustment than those with fewer Barrier responses

(r = .51, p = .001; Fisher, 1970, p. 242). Ability to tolerate pain was also

positively correlated with the Barrier score. Male participants who reported more

Barrier responses accepted more shock than those who reported fewer Barrier percepts

at probability levels ranging from p = .05 to p = .005 (Fisher, 1970, p. 250).

The Barrier response also predicts response to stressothe higher the score the

better coping ability. Competence on the Stroop Color Naming Test (Stroop,

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1935) was correlated at .46, p = .00l with the Barrier score (Fisher, 1970, p. 249). In

an experiment assessing social skills, 96 college women were asked to communicate

with a laboratory partner about the experiment. Women with high barrier

scores sent more messages (p = .01) and had more units of communication (p = .05)

than women who reported fewer Barrier scores (Fisher, 1970, p. 259). In a study of

19 women in an Asch conformity experiment, those who yielded to the majority

reported fewer barrier images than those who did not yield (r = .40, p = .05); a

larger investigation of 46 male students reported the same effect (r = .48, p = .001;

Fisher, 1970, p. 263).

The Penetration response proved difficult for Fisher (1970) to define: iThis

raises a serious dilemma as to the meaning to assign to itî (p. 177). It did not produce

as many consistently significant results as the Barrier response and was

treated as an exploratory measure. Even so, it was useful for some purposes. The

inkblot responses of 70 male patients with chronic alcoholism in a Veterans Administration

hospital contained more Penetration responses (p = .02) than those from 50 nonalcoholic, nonpsychotic male patients (Fisher, 1970, p. 288). Hypnotic

susceptibility in male patients but not female patients was correlated positively

and significantly (p = .05) with the Penetration response (Fisher, 1970, p. 265).

RORSCHACH ORAL DEPENDENCE SCALE

The Rorschach Oral Dependence Scale (ROD; Masling, Rabie, & Blondheim, 1967) was borrowed almost in its entirety from Schafer (1954) who briefly outlined

several psychoanalytic themes that could be inferred from responses to Rorschach

inkblots. Two of these themes are orality and dependency, generally seen in psychoanalytic

theory as one trait combining both features. A simple, one-page manual (see Appendix B) lists examples of oral and dependent percepts; the scale is

essentially lexical with any mention of a key word warranting a score. Every response

containing either an oral or a dependent word is given a score of 1. Interobserver reliability is limited primarily by poor handwriting of the participant

(most data for the ROD have been collected using the group Rorschach)

scorer distractability. Percentage agreement between raters ranges from 85% to

95% (Bornstein, 1996). A more stringent method of assessing reliability, calculating

correlation coefficients between scorers, consistently produces rs of .90 and

above (Bornstein, 1996). Kappa coefficients demonstrating reliability above what

can be expected by chance alone have been in the range of .80 (Bornstein, 1996).

Testñretest reliability coefficients in a sample of college students was .67 after a

16-week interval between tests, .48 after a 28-week interval, and .46 following a

60-week period (Bornstein, 1996).

The ROD has been employed in over 50 published studies (Bornstein, 1996) and

was used in nearly 70% of investigations of implicit dependency (Bornstein,

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2002). A meta-analysis of 21 studies of dependency showed a combined effect size

of .37 for the ROD (p = .001); the MMPI, used in 5 studies, produced an effect size

of .20 (Bornstein, 1999). High-ROD participants in Psychology 101 classes are

more compliant with authority than low-ROD participants and complete their requirements

to participate in psychological experiments earlier in the semester, a result found in two independent samples (Masling, 1986). In a difficult problemsolving

experiment (Shilkret & Masling, 1981) high—ROD participants looked at the experimenter more often than the low—ROD participants; those participants

who reported more dependent as opposed to oral percepts asked for help more frequently

than did those who report fewer such images (p = .001).

Scores on the ROD have repeatedly predicted sensitivity to interpersonal cues.

Several studies have shown that high-ROD participants, particularly male participants,

perceive their friends, teachers, and therapists more accurately than low-

ROD participants (Bornstein, 1996). Social isolation produces greater autonomic

nervous system activation in high scoring ROD participants than in those who

score lower (Masling, 1986).

CONCEPT OF THE OBJECT

The scales previously described scored all responses falling within their guidelines;

no distinctions or assessments were made about their form quality. For these measures, it was sufficient to show that the participants had some pertinent

association to the blots to warrant a score. Blatt, Brenneis, Schimek, and Glick

(1976) took a different tack in utilizing inkblot responses. Their

study of object

representations, ithe complex mental schemata of significant objects encountered

in realityî (p. 8), considered both content and structure of the percept. For

Blatt, Brenneis, and Schimek (1976) both the developmental level of the response

and its form quality are important markers of psychopathology. Their scale accordingly assesses the adequacy of the developmental level of object

representations and the extent of their impairment. A highly truncated version of

the scale is found in Appendix C.

Blatt, Brenneis, and Schimek (1976) described three studies: (a) the changes in

Rorschach responses of a group of 37 normal participants tested four times between

the ages of 11 to 12 and 30; (b) the human responses in a group of 48 young,

psychiatric inpatients; and (c) a comparison of Sample l at age 17 to 18 with the

hospitalized sample. The normal participants showed

[A] significant increase in well-differentiated, highly articulated, and integrated human

figures seen in constructive and reciprocal interactions. In comparison with normals,

patients reported human figures that were significantly more inaccurately

DIFFERENT METHODS OF CATEGORIZING RORSCHACH RESPONSES 405 perceived, distorted, and partial and that were seen as inert or engaged in unmotivated,

incongruent, nonspecific, and malevolent activity. (p. 364)

Another striking, provocative result was also found: Unlike the normal participants,

the human percepts of the psychiatric patientsí developmentally advanced

percepts, in contrast, were inaccurately perceived. The clinical utility of these findings

were explored by Blatt and Lerner (1983b) who utilized the concept of the object

scoring method on five prototypic psychiatric cases.

A more detailed description of both this theory and method can be found in

Blatt, Ford, Berman, Cook, and Meyer (1988) and in Blatt and Lerner (1983a)

where the changes from pretreatment to posttreatment of 90 psychiatric patients

are presented. Although a number of Rorschach variables did not change significantly

from pretreatment to posttreatment, Blatt et al. found that anaclitic patients

(e.g., those with concerns regarding affection and intimacy) reported fewer elaborations

of inaccurately perceived human forms than the introjective patients (e.g.,

those with issues of anger, aggression, self-definition). Interscorer reliability was

highó90% or higher in all but two categories in which the percentage agreement

fell to 82% and 84% (Blatt, Brenneis, & Schimek, 1976).

RORSCHACH PROGNOSTIC RATING SCALE

A major, intractable problem in clinical psychology and psychiatry is to separate

those who would profit best from psychotherapy from those who would not. The

Rorschach Prognostic Rating Scale (RPRS) was developed by Klopfer et al. (1951)

for this purpose. Klopfer et al. hypothesized that ego strength, reality testing, and

emotional integration were the essential personal qualities necessary to complete

the hard work required in psychotherapy and they selected Rorschach variables

they thought would assess those attributes as well as the participantsí potential for

developing ego strength. (See Appendix D for a summary of the scoring system.)

They reasoned that present ego functioning mirrored current adjustment but potential

ego strength was a resource that could be mobilized during psychotherapy.

Meyer and Handlerís (1997) recent search of the literature for studies of the validity

of the RPRS found 18 appropriate iinvestigations that used the RPRS as a baseline

measure to predict longitudinal outcomeî (p. 5) of psychotherapy.

Their meta-anal-

ysis on the 20 samples that met the criteria for inclusion in the study included 752

patients in psychotherapy (M = 38 patients per study) with a length of follow-up

352 days later.

The results of the Meyer and Handler (1997) meta-analysis were remarkably

robust, p values reaching to 6 zeroes: Those with high scores on the RPRS profited

more from psychotherapy than those with low scores. The effect size was .56.

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about the same magnitude (.52) as the relationship between gender and concurrent

arm strength and considerably larger than the effect size (.03) between chemotherapy

and subsequent reduction in breast cancer mortality and the effect size (.21)

between the results of a cardiac stress test and subsequent cardiac disease. Meyer

and Handler concluded that the RPRS is able to predict the results of psychotherapy

for children and well as adults, voluntary patients or court referred, schizophrenics

or less disabled patients, and those followed up 6 months to 36 months later (p. 25).

Partly because the Klopfer et al. (1951) system is no longer frequently taught in

graduate programs (Hilsenroth & Handler, 1995) and partly because the RPRS is

cumbersome to use, this scoring method has lately fallen out of favor. About 27

studies have been published connecting the RPRS to some external criterion, but

only 3 have appeared in the last 20 years. All but a few attempted to predict success

in some kind of therapy or training program; populations include student nurses

(Mindess, 1957), stutterers (Sheehan, Frederick, Rosevear, & Spiegelman, 1954;

Sheehan & Tanaka, 1983), incarcerated offenders (Edinger & Bogan, 1976), and

beginning teachers (Brawer & Cohen, 1966). Edinger and Bogan noted that ino

RPRS components have been found to be efficacious for all populations, and it appears

that these components are differentially indicative of the adjustment capacity

across populationsî (p. 877).

A METHOD FOR ASSESSING PRIMARY

AND SECONDARY PROCESS IN THE RORSCHACH

A number of psychoanalytically oriented scholars view the Rorschach as a unique

means for securing knowledge about individualsí inner needs before the defense

mechanisms disguise, shape, and transform them into unrecognizable form.

Freudís (1915/1958) concept of the primary processóa mode of thinking relatively

unregulated by logic, reality testing, or rules of time and space but is wishful

and autistic and controlled mainly by unconscious forces seeking instinctual dis-

chargeóseems particularly susceptible to assessment via associations to inkblots.

Krisís (1952) theory of regression in the service of the ego holds that primary process

thinking is essential for creative work but only to the extent that it is under the

control of ego processes. Holt (1978) described a 25-year effort to convert iRor-

schachís familiar inkblot test into an operational measure of primary process thinkingî

(p. 211). His system has been frequently used to investigate the relationship

between primary process thinking and affective and cognitive activities.

Other scoring systems (e.g., the ROD and the Barrier and Penetration scores) do

not attempt to differentiate between a positively toned response (ìa delicious apple

pieî) and a negatively toned one (ian apple pie crawling with wormsî)
or between

realistic and unrealistic responses but are concerned only in the content categories

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the participant imposes on the blots. In contrast, because both Blatt and Holt have

maintained that a great deal is lost when diametrically oppositely Colored responses

are considered equivalent, their systems use finer gradations in scoring.

For Holt, a sadistic response is not the equivalent of a masochistic response even

though both are concerned with hostility, and la flat-chested womani is not scored

the same as ibreasts, i although both are obviously oral references.

The scoring manual has undergone a number of revisions and to this date is still

not published in final form, but the Holt 1978 version has 22 categories; a skeletal

version of that manual is found in Appendix E. Major categories within this system

include Adaptive Regression (AR), a measure of intensity of primary process material

and the extent to which it is integrated; Defense Demand (DD), an index of

intensity of the response; and Defense Effectiveness (DE), an estimate of the cognitive

integration of primary process content. Holt (1978) reported agreement

οf

.98 and .90 in judging whether a responses should be scored based on four studies

with N=134; when the total number of responses is controlled, the . 98 agreement

shrinks a bit to .91 (p. 257). In judging for presence or absence of specific response

categories, Holt (1978) held that ithe level of agreement on the individual category

is about that of traditional Rorschach determinants, about 65%î (p. 258). Russ and

Grossman-McKee (1990), working with childrenis protocols, reached interrater

reliability coefficients of .76 for DD, .88 for DE, and .90 for AR. The ability of the Holt system to predict creativity (Pine & Holt, 1960), prob-

lem-solving ability (Blatt, Allison, & Feirstein, 1969), and skill in generating remote

associations (Murray & Russ, 1981) has been well documented. In addition,

Gamble and Kellner (1968) reported that creative people could call on more primary

process than those who are less creative. Particularly impressive is the ability

of the Holt system to predict creativity and cognitive skills in children. Russ (1980)

found that the AR measure in second-grade students was significantly related to

their reading ability even after IQ was partialed out, a result that also held true (p =

.001) for the same children 1 year later (Russ, 1981). Dudek and Verreault (1989),

studying creativity in fifth and sixth graders, concluded that creative children reported

significantly more total primary process responses than did the less creative

children.

MOA.

The turn in psychoanalysis from a preoccupation with drives to an interest in object

relations has seen a parallel concern with investigating responses to the Rorschach

blots for indications of the quality and extent of selfñother relations. Stricker and

Healey (1990) reviewed projective instruments for assessing object relations. Urist

(1977) developed the Mutuality of Autonomy Scale (MOA) for scoring inkblot responses

that assess ithe degree to which relationships between figures on the Ror

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schach were perceived in terms of mutuality of autonomyî (p. 3). He assumed that

participantsí descriptions of relationships between animate and inanimate figures

on the inkblots would mirror their human relationships. A brief edition of the scoring

manual is found in Appendix F.

Interscorer reliability for the MOA has yet to be firmly established. A recent

study (Holaday & Sparks, 2001) reported that previous efforts to establish scoring

reliability ranged from 52% to 91%, with an average of 74%. Holaday and Sparks

revised the original scoring method and produced interrater reliabilities of 97% (or

82% using a different method of calculation).

As might be expected, experimenters interested in autonomy have investigated

the effects of separation and loss. Brown—Cheatham (1993) examined the MOA

scores of 40 father-absent Black boys aged 6 to 12. He found that boys whose fathers

had left the family involuntarily (through death, incarceration, or hospitalization)

had less adaptive MOA responses (p = .02) than those boys whose fathers had

negotiated their absence from the family (because of work or agreed—on separation).

Similar results were reported by Goddard and Tuber (1989) who found that

children formally diagnosed with separation anxiety disorder had more disrupted

object relation scores than the control participants (p = .05). Clinging responses, in

particular, were more frequent in the children with separation anxiety disorder

than in the controls.

The MOA apparently assesses a quality similar to ego strength. Tuber (1983)

scored the Rorschach records for MOA of children ranging in age from 6 to 11 who

had been in residential psychiatric treatment. Follow-up occurred at least 5 years

later when these participants were 17 to 30 years old. Tuber reported that significantly

fewer of those with positive MOA scores had been rehospitalized; analysis by

gender of these results showed that the MOA predicted the

rehospitalization rate of

the male patients but not the female patients. Hart and Hilton (1988) compared the

Rorschach scores of female college students aged 17 to 20 who used contraceptives

with those who did not. They found that MOA scores of those who practiced birth

control were higher (p = .01) than those who failed to practice safe sex.

DISCUSSION

Considerable research has been generated by the various methods for scoring responses

to inkblots, demonstrating once again that responses to ambiguous stimuli

reflect measurable personality dynamics. It says something about the acrimony of

the present debate that this statement, which should have been selfevident, needs

to be made. An impressive variety of problems and a large array of participants

have been investigated. A number of the questions about personality development

and dynamics require the use of projective methods. Whatever individuals see in an

ambiguous stimulus, the response is uniquely theirs. Therein lies the value of the

DIFFERENT METHODS OF CATEGORIZING RORSCHACH RESPONSES 409 Rorschach test. With no rules to follow, with no right or wrong answers, the test

participant must look for internal cues and associations to a personal past as allowed

and shaped by ego processes.

A variety of systems are available for evaluating and quantifying these idiosyncratic

responses, ranging from the quasi-lexical procedures of the Barrier and Penetration

scores and the ROD to the more intensive examinations of the Holt (1966)

and Klopfer et al. (1951) methods. The choice of scoring method has apparently

been dictated by the problem investigated and theoretical allegiance of the experimenters;

no measure appears to be useful for all questions. In all cases, reliability

of scoring is at least acceptable. Moreover, interest in using Rorschach responses

to investigate personality dynamics is not waningóat least nine new scoring systems

have been developed and used since 1970.

Despite the abundant evidence of the utility of projective tests, some scholars

persist in seeing them as somewhat less than respectable and as scientifically suspect.

A popular Psychology 101 text declared that iprojective tests tend to have

problems of reliability and validity. Ö The validity of projective tests is also low,

because they are not very effective in predicting behaviorî (Bootzin, Bower,

Crocker, & Hall, 1991, p. 511). Another text claimed that ithe validity and reliability

(of the Rorschach and Thematic Apperception Test [TAT]) have been questioned.

Ö Perhaps as a result, their use has declined since the l970sî (Morris,

1996, p. 479). Goldstein (1994) concluded a discussion of the Rorschach and TAT

by stating that iefforts to determine whether they reliably measure aspects of personality

have yielded mixed results. Ö The TAT is open to similar criticisms of low reliability and validityî (pp. 623ñ624). The motive to find flaws and minimize

advantages in projective devices reminds me of the answer the young bride gave

when someone asked her to describe her husband: iHe has an even dispositionó

always critical.î

McClelland, Koestner, and Weinberger (1989) speculated that the difficulty

some psychologists experience in recognizing and accepting data favorable to projective

techniques stems from the cognitive revolution in psychology and a turn

away from interest in both Freudís (1915/1958) concepts of unconscious processes

and Hullís mechanistic models of motivation (McClelland et al., 1989, p.

690). In addition, given the psychodynamic basis for the scoring systems described

here, acknowledging their merit requires understanding the ornate, orotund,

parsimony-resistant language of psychoanalysis, an unpalatable brew for

many psychologists to swallow. Whether data justify rejection of 100% of all of

Freudís (1915/1958) and Hullís (McClelland et al., 1989) positions is another

matter.

Projective methods are relatively free from social desirability

effects, none requiring

the observer to admit personal failings or problems, unlike the situation

with self-report measures of personality. The great advantage of objective tests,

which is also a considerable liability, is their face validity. Whereas a self-report

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test of depression directly asks participants about being depressed, a projective test

requires a risky leap from response to predicted behavior. Although it is certainly

easy to ask a participant directly about being depressed, the responses might not be

valid for several reasons: Some participants know the answer but refuse to tell,

others try to reply correctly but lack the self-knowledge that allows them to do so,

and a few are so irritated (or threatened) by the test and/or testing situation they

will say anything to terminate the session (e.g., the iscrew your effect; see

Masling, 1966). Because face validity of objective tests is so high, it is easier to

fake igoodî or ibadî answers to them than to projective tests (Bornstein, Rossner,

Hill, & Stepanian, 1994).

In a purely rational world, naÔve trust that self-reports reflect the itrueî situation

should have been seriously tempered by the important findings of Shedler,

Mayman, and Manis (1993) that some participants in their study gave false positive

reports of their mental health either because they knew the truth or because

they did not. The impact of the Shedler et al. study has been negligible in the assessment

field at large, perhaps because of a reluctance to accept the active presence

of defense mechanisms that allow all of us to carry on everyday behavior

without knowing the underlying reasons. Those who devalue the study of responses

to ambiguous stimuli tend to underestimate the limitations inherent in self-reports.

For years, iit has been commonly assumed that questionnaires and projective

tests are simply alternative ways of getting at the same variableî (McClelland et

al., 1989, p. 690). However, sufficient evidence is now available to demonstrate

that projective devices and self-reports assess different facets of a variable

(Bornstein, 2002; McClelland et al., 1989). Self-reports describe explicit motives

to the extent that participants are able and prepared to share them with the investigators,

whereas projective tests sample implicit needs that participants may

recognize. It is not surprising, then, that when a personality construct is assessed

using both self-reports and projective measures, the intertest correlations are weak

(Bornstein, 2002; McClelland et al., 1989). McClelland et al. (1989) claimed that

few facts are as well established as the low relationship between these two methods

of assessment, iyet psychologists have had difficulty in dealing with $\text{it}\hat{\textbf{1}}$ (p.

691).

Research has demonstrated that projective tests, particularly the TAT, are able

to predict long-term behavior, whereas self-report scales predict present responses

to specific situations (Bornstein, 2002; McClelland, 1980). TAT measures of the

achievement motive have predicted entrepreneurial behavior in both the United

States and India over a period of years. An inhibited power-motive syndrome inferred

from TAT stories has predicted managerial success over a 16-year period in

the United States and elevated blood pressure in a U.S. sample over 20 years. In

contrast ia variety of self-report measures of similar motives had no predictive validity

over timeî (McClelland et al., 1989, p. 691).

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No Rorschach study can claim the long-term predictive ability of the TAT, although

whether because of lack of effort or lack of success is difficult to tell. The

RPRS has predicted success in therapy 1 year after test administration (Meyer &

Handler, 1997), and Russ (1981) demonstrated that reading ability in the third

grade, after IQ was statistically controlled, can be predicted by the childrenís primary

process scores obtained 1 year earlier. In Rorschach research, the longest

time interval between test administration and predicted behavior was 5 years;

MOA scores of psychiatric inpatient children aged 6 to 11 successfully predicted

the rehospitalization rates of the male patients when they were 17 to 30 years old.

(Tuber, 1983). The case for the utility of Rorschach scores would be bolstered if

there were more longitudinal studies, but even this limited sample demonstrates

that whatever psychological processes responses are reflected in responses to inkblots

they tend to be stable over a sizeable time period.

Some problems in personality theory are best studied using measures of self-at-

tributed motives. Generally these are issues of iimmediate, specific responses to

specific situations or choice behaviorî (McClelland et al., 1989, p. 691). In contrast,

implicit motives predict spontaneous behavioral trends over timeî (McClelland et al., 1989, p. 691). When dependency is investigated using both selfreports

and projective tests, the results are fairly comparable, although the advantage

if any goes to the projectives. The ROD in 21 studies had an effect size of .37,

whereas the Edwards Personal Preference Scale (Edwards. 1959; 9 studies) had an

effect size of .35, the Millon Clinical Multiaxial Inventory Dependency Scale

(Millon, 1987; 9 studies) had an effect size of .17, and the MMPI (5 studies) had an

effect size of .20 (Bornstein, 1999). Any investigation of generalized behavior over

time might more effectively be pursued by using an implicit measure of motivation

like the Rorschach.

Disowning all measures of interpreting Rorschach responses because of perceived

flaws in the CS (Garb, 1999, is a good example of this) is a dramatic overgeneralization and has no empirical foundation. As $Xerox \mathcal{A}$ is not synonymous

with photocopying nor New York with New York state, the CS, for all its

virtues, is not another name for the Rorschach method. This article reviewed six

other widely used methods of categorizing and interpreting responses to inkblots.

There are many more yet to be discovered, the number restricted only by limitations

of creativity and energy. Devotion to science does not require discarding useful

ideas but does mandate accepting good data, especially and particularly when

they disconfirm presently held prejudices.

There are several trends in the research I reviewed. First, it is sad that with a few

exceptions almost every experiment reported here was only a single, unreplicated

study. How many positive results were obtained by chance is impossible to ascertain,

but the odds are high that some of the findings were fortuitous. In contrast,

most articles in first flight journals in cognitive psychology and social psychology

describe a series of interlinked studies. Personality psychology would profit

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greatly from using this model. Second, gender differences are the rule rather than

the exception. The best way not to find gender differences is to group male participants

and female participants before analyzing the data. Despite this, many experiments

either did not analyze for gender or else investigated only one gender and

generalized to both. Third, the magnitude of correlations frequently reached impressive

statistical significance but could account for only a limited amount of the

variance. On a number of dimensions, investigating personality by means of projective

methods is still a rather crude science.

SUMMARY

A considerable range of personality variables and populations has been examined

by categorizing and quantifying responses to inkblots. Unlike objective tests,

which depend on a respondentis ability and willingness to self-report, projective

tests are much less influenced by self-serving defenses. As a result, projective

methods are uniquely able to investigate questions relatively protected from objective

testing. That objective and projective methods assess different aspects of a

variable is evident from the generally low intertest correlations

obtained when both

are used on the same problem. Despite the admirable record of the six inkblot scoring

methods described here for clarifying complex issues in personality functioning,

projective tests are generally seen as lacking scientific respectability, perhaps

because most have a psychodynamic basis.

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APPENDIX A

Scoring System for Barrier and Penetration Responses The Barrier Response

- 1. All references to clothing.
- 2. All references to buildings and similar enclosing structure.
- 3. All references to vehicles with some containing or iholdingi qualities.
- 4. All references to that which contains, covers, or conceals:
- a. Containers.
- b. Coverings.
- c. Concealment.
- 5. All living things (except human) described as having special surface

qualities.

- 6. All creatures possessed of shells or similar protective structures.
- 7. All references to geographic or natural formations with delimiting or con-

tainer-like qualities.

The Penetration Response

- 1. All references to the fact of disruption, penetration, damage, or destruction
- of any object or living thing.
- 2. All references to body openings or to acts involving body openings.
- 3. All references to perceptions that involve a perspective of bypassing or
- evading the usual boundaries of the body or other objects.
- 4. All references to the process of entering or leaving structures and also the
- means for doing so.
- 5. All references to natural contexts that involve intake or expulsion.
- 6. All images that are insubstantial or vague in their delimitation. A more detailed list, with examples, is found in Fisher (1970, pp. $605\tilde{n}609$).

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Scoring for Oral and Dependent Responses

- 1. Foods and drinks.
- a. Anything that can be eaten or drunk in its present state.
- $\ensuremath{\text{b.}}$ An animal can be scored only if it is invariably associated with being
- edible.
- 2. Food sources.
- a. Obvious sources of food.
- b. Inferred sources.
- Food objects.
- 4. Food providers.
- Passive food receivers.
- 6. Beggars, those praying for help.
- 7. Food organs.
- 8. Oral instruments.
- 9. Nurturers.
- 10. Gifts and gift givers.
- 11. Good luck symbols.
- 12. Oral activity.
- 13. Passivity and helplessness.
- a. Explicit statements of helpless or passive condition.
- b. Embryo is scored. Baby is not scored unless there is some suggestion of
- passiveness, frailness.
- 14. Pregnancy and reproductive organs.
- Baby talk in the participantis responses.
- 16. Negations of oral percepts are scored.
- A more detailed list is found in Masling (1986, p. 77).

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APPENDIX C

Developmental Analysis of Object Representations

```
(Blatt & Lerner, 1983b, p. 10)
Accuracy
Fñ, F+
Differentiation
Ouasi-human detail: (Hd)
Human detail: Hd
Quasi human: (H)
Human: H
Articulation
Inappropriate (ñ), appropriate (+)
Perceptual
Size (Sz), posture (Po), hair style (Hsy)
Clothing (Cl), physical structure (PSt)
Functional
Sex (sex), Age (Age), Role (Ro), Specific identity (SpId)
Motivation of action
No action (No Act)
Unmotivated action (Unmot)
Reactive action (React)
Intentional action (Int)
Integration of object and action
Fused (Fused)
Incongruent (Incon)
Nonspecific (NonSp)
Congruent (Con)
Content of action
Malevolent (Mal)
Benevolent (Ben)
Nature of interaction
Activenpassive (AnP)
Activenreactive (AnR)
Activenactive (AnA)
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APPENDIX D
Rorschach Prognostic Rating Scale
(from Meyer & Handler, 1997, p. 2)
Variable Component
Human movement
Animal movement
Inanimate movement
Shading
Texture
Vista
Shading use problems
Color
Color use problem
Form quality
Amount of movement in space
Freedom in seeing movement
```

Cultural distance Form quality of M Amount of movement in space Freedom in seeing movement Cultural distance Form quality of M Natural and mechanical forces Abstract forces Form quality of m Form dominant versus form formless/minus form quality Warm, soft, or transparent surface Versus shading as color versus Shading in a diseased organ Form dominant versus form secondary/formless versus minus form quality Shading evasion, shading insensitivity Form dominant versus form secondary Versus formless/minus form quality Color description/color denial/symbolic Color (euphoric)/color comments Versus forced or arbitrary use of color Versus symbolic color (dysphoric)/Color in a diseased organ versus color Naming/color contamination Averaged across protocol 420 MASLING APPENDIX E The Holt (1978) Scoring System for Primary Process Responses on the Rorschach Libidinal Level l 0ral Anal Sexual Exhibitionistic-voyeuristic Homosexual (sexual ambiguity) Miscellaneous libidinal Level 2 0ral Anal Sexual Exhibitionistic-voyeuristic Homosexual (sexual ambiguity) Miscellaneous libidinal Aggressive Level l Potential: subject or object Active: subject or object Results Level 2

Potential: subject or object Active: subject or object Results Anxiety and guilt Level l Level 2

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The Urist Mutuality of Autonomy Scale (Urist, 1977, p. 5)

- l. Figures are engaged in some relationship or activity.
- 2. Figures are engaged together in some relationship or parallel activity.
- 3. Figures are seen as leaning on each other, or one figure is seen as leaning or

hanging on another.

- 4. One figure is seen as a reflection, or imprint, of another.
- 5. The nature of the relationship between figures is characterized by a theme
- of malevolent control of one figure by another.
- 6. Not only is there a severe imbalance in the mutuality of relations between
- figures, but here the imbalance is cast in decidedly destructive terms.
- 7. Relationships here are characterized by an overpowering, enveloping force.

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